

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

JC931 U.S. PTO



09/21/01

Hon. Commissioner of Patents
Washington, DC 20231

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

RULE 53(f) NO DECLARATION

Atty. Dkt.

P 283651

OL97501N-US

M#

Client Ref

Date:

September 21, 2001

Sir:

1. This is a Request for filing a new Patent Application (Design Utility) entitled:

2. (Complete) Title:

OPTICAL APPARATUS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. Abstract 1 page(s).4. 93 Pages of Specification (only spec. and claims); 5. Specification in non-English language6. 20 Numbered claim(s); and7. Drawings: 30 sheet(s) 1 set informal; 8. formal of size: A4 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 388165/2000	December 21, 2000	(2) 009951/2001	January 18, 2001
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): attached; previously filed (date) _____
in U.S. Application No. / filed on _____12. This is a reissue of Patent No. _____13. See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. / filed _____No. / filed _____No. / filed _____No. PCT/ / filed _____, whichdesignated the U.S. and that International Application was was not published under PCT Article 21(2) in English15. See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: concurrently filed not needed previously filed17. Small Entity Status is claimed (pre-filing confirmation required)17(a) Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

18. Assignee (optional): _____

19. Attached: Form PTO-1449 is in the enclosed cited documents.

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Kimihiko NISHIOKA		
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(5) Inventor			
Residence	First	Middle Initial	Family Name
Mailing Address	City	State/Foreign Country	Country of Citizenship
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box and list additional inventors on attached sheet (incorporated by reference)



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Intellectual Property Group

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments